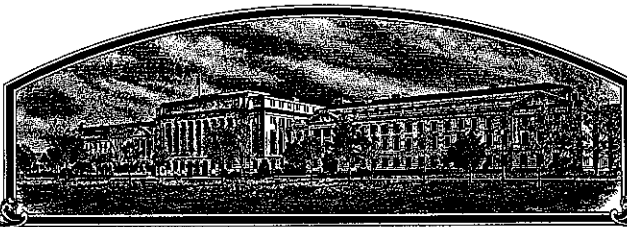


No.

9200267



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

## DEPARTMENT OF Plant Genetics

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF *eighteen* YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT (STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'CX121'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this 31st day of October in the year of our Lord one thousand nine hundred and ninety-four.

Attest

*Kenneth H. Egan*  
Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

*Mike Egan*  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

# APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions on reverse)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) (as it is to appear on the Certificate)  DEKALB Plant Genetics		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO.	3. VARIETY NAME  CX121
4. ADDRESS (street and no. or R.F.D. no., city, state, and ZIP)  3100 Sycamore Road DeKalb, IL 60115		5. PHONE (include area code)  (815) 756-7333	<b>FOR OFFICIAL USE ONLY</b> PVPO NUMBER <div style="font-size: 2em;">9200267</div>
6. GENUS AND SPECIES NAME  Glycine max	7. FAMILY NAME (Botanical)  Leguminosae		
8. CROP KIND NAME (Common Name)  Soybean	9. DATE OF DETERMINATION  Summer 1989		Filing and Examination Fee: \$ 2150.00 Date Sept. 16, 1992 Time 8:20 <input checked="" type="checkbox"/> A.M. <input type="checkbox"/> P.M.
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.)  Partnership			Filing and Examination Fee: \$ 250.00 Date Sept. 14, 1992
11. IF INCORPORATED, GIVE STATE OF INCORPORATION		12. DATE OF INCORPORATION	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Robert E. Roman, Jr., Assistant General Counsel DEKALB Genetics Corporation 3100 Sycamore Road DeKalb, IL 60115 PHONE (include area code): (815) 756-7333			

14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)

a. ☒ Exhibit A, Origin and Breeding History of the Variety.

b. ☒ Exhibit B, Novelty Statement.

c. ☒ Exhibit C, Objective Description of Variety.

d. ☐ Exhibit D, Additional Description of Variety.

e. ☒ Exhibit E, Statement of the Basis of Applicant's Ownership.

f. ☒ Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office September 11, 1992

g. ☒ Filing and Examination Fee (\$2,150) made payable to "Treasurer of the United States."

15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 83(a) of the Plant Variety Protection Act.)  
☐ YES (If "YES," answer items 16 and 17 below) ☒ NO (If "NO," skip to item 18 below)

16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?  
☐ YES ☒ NO

17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?  
☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

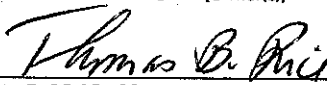
18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.?  
☐ YES (If "YES," through ☐ Plant Variety Protection Act ☐ Patent Act. Give date: \_\_\_\_\_) ☒ NO

19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES?  
☒ YES (If "YES," give names of countries and dates) U.S. - February 1992 ☐ NO

20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable.

The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act.

Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF APPLICANT [Owner(s)]  	CAPACITY OR TITLE  President	DATE  September 2, 1992
SIGNATURE OF APPLICANT [Owner(s)]	CAPACITY OR TITLE	DATE  /

Origin and Breeding History

CX121 was developed in a pedigree breeding program from a cross of Pella x CX174. CX174 is derived from the cross Hodgson (Beeson x Corsoy).

Summer 1982	Cross Pella x CX174
Winter 1982	F1 plants grown in greenhouse
Summer 1983	F2 plant rows - range 566, rows 14-19
Summer 1984	F3 plant rows - range 502, rows 9-12, 14-21
Summer 1985	F4 plant rows - range 133, rows 35-42
Summer 1986	F5 plant row - range 202, row 25

Seed from the F5 plant row was bulked and yield tested in 1987-1992. Seed increases occurred in 1988 (100 lbs.), 1989 (4,000 lbs.), 1990 (43,000 lbs.), and 1991 (217,000 lbs.).

During this time the variety has undergone purification and has been observed by the breeder to be uniform and stable for all plant traits from generation to generation with no evidence of variants other than those due to mutation or environment.

The variety was given the name CX121 and released in the spring of 1992.

Novelty Statement

CX121 is most similar to Asgrow A1179. CX121 differs from Asgrow A1179 and CX174 for the following characteristics:

	CX121	Asgrow A1179	CX174
Flower color	Purple	White	Purple
Hila Color	Imperfect Black	Yellow	Buff
Iron Chlorosis	Mod. Tolerant	Susceptible	Highly Tolerant
Phytophthora			
Res. Gene	Rps1a	Rps1a	rps

U.S. DEPARTMENT OF AGRICULTURE  
 AGRICULTURAL MARKETING SERVICE  
 LIVESTOCK, MEAT, GRAIN & SEED DIVISION  
 PLANT VARIETY PROTECTION OFFICE  
 BELTSVILLE, MARYLAND 20705

EXHIBIT C  
 (Soybean)

OBJECTIVE DESCRIPTION OF VARIETY  
 SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) DEKALB Plant Genetics	TEMPORARY DESIGNATION	VARIETY NAME CX121
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 3100 Sycamore Road DeKalb, IL 60115		FOR OFFICIAL USE ONLY PVPO NUMBER 9200267

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,   ). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)  
 3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)  
 4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow    2 = Green    3 = Brown    4 = Black    5 = Other (Specify) \_\_\_\_\_

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')    2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff    2 = Yellow    3 = Brown    4 = Gray    5 = Imperfect Black    6 = Black    7 = Other (Specify) \_\_\_\_\_

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow    2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low    2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1<sup>a</sup>)    2 = Type B (SP1<sup>b</sup>)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')    2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')  
 3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')  
 4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 286A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate    2 = Oval    3 = Ovate    4 = Other (Specify) \_\_\_\_\_

11. LEAFLET SIZE:

2

1 = Small ('Amsoy 71'; 'A5312')  
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

12. LEAF COLOR:

2

1 = Light Green ('Weber'; 'York')  
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

★ 13. FLOWER COLOR:

2

1 = White      2 = Purple      3 = White with purple throat

★ 14. POD COLOR:

1

1 = Tan      2 = Brown      3 = Black

★ 15. PLANT PUBESCENCE COLOR:

1

1 = Gray      2 = Brown (Tawny)

16. PLANT TYPES:

1

1 = Slender ('Essex'; 'Amsoy 71')  
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

★ 17. PLANT HABIT:

3

1 = Determinate ('Gnome'; 'Braxton')  
3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

2 = Semi-Determinate ('Will')

★ 18. MATURITY GROUP:

4

1 = 000      2 = 00      3 = 0      4 = I      5 = II      6 = III      7 = IV      8 = V  
9 = VI      10 = VII      11 = VIII      12 = IX      13 = X

★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★ ☐ 0 Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★ ☐ 0 Bacterial Blight (*Pseudomonas glycines*)

★ ☐ 0 Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

★ ☐ 0 Brown Spot (*Septoria glycines*)

Frogeye Leaf Spot (*Cercospora sojina*)

★ ☐ 0 Race 1      ☐ Race 2      ☐ Race 3      ☐ Race 4      ☐ Race 5      ☐ Other (Specify)

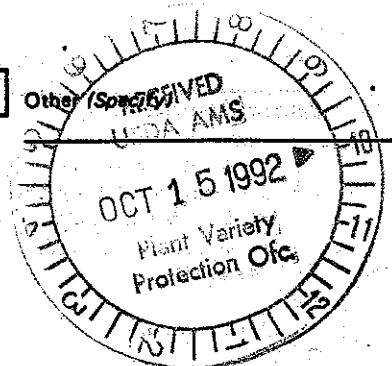
☐ 0 Target Spot (*Corynespora cassicola*)

☐ 0 Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)

☐ 0 Powdery Mildew (*Microsphaera diffusa*)

★ ☐ 0 Brown Stem Rot (*Cephalosporium gregatum*)

☐ 0 Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)



19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

★ ☐ 0 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)

☐ 0 Purple Seed Stain (*Cercospora kikuchii*)

☐ 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)

Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)

★ ☐ 2 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ 0 Race 5 ☐ 0 Race 6 ☐ 1 Race 7

☐ 0 Race 8 ☐ 0 Race 9 ☐ 0 Other (Specify) \_\_\_\_\_

VIRAL DISEASES:

☐ 0 Bud Blight (Tobacco Ringspot Virus)

☐ 0 Yellow Mosaic (Bean Yellow Mosaic Virus)

★ ☐ 0 Cowpea Mosaic (Cowpea Chlorotic Virus)

☐ 0 Pod Mottle (Bean Pod Mottle Virus)

★ ☐ 0 Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

Soybean Cyst Nematode (*Heterodera glycines*)

★ ☐ 0 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 0 Race 4 ☐ Other (Specify) \_\_\_\_\_

☐ 0 Lance Nematode (*Hoplaimus Colombus*)

★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)

★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)

☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)

☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)

☐ 0 OTHER DISEASE NOT ON FORM (Specify): \_\_\_\_\_

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

★ ☐ 2 Iron Chlorosis on Calcareous Soil

☐ Other (Specify) \_\_\_\_\_

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)

☐ 0 Potato Leaf Hopper (*Empoasca fabae*)

☐ 0 Other (Specify) \_\_\_\_\_

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	CX174	Seed Coat Luster	CX174
Leaf Shape		Seed Size	CX174
Leaf Color		Seed Shape	CX174
Leaf Size		Seedling Pigmentation	

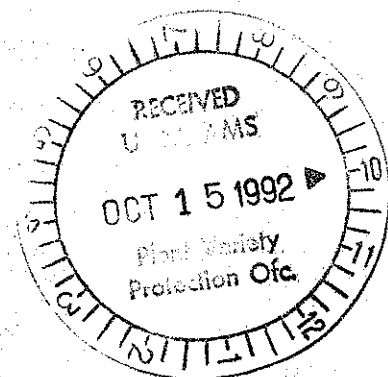
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## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
CX121 Submitted	0	7.4	90.1			34.5	19.2	18.5	2-3
CX174 Name of Similar Variety	+9	6.7	90.9			34.3	18.9	18.2	2-3

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.





Statement of the Basis of Applicant's Ownership

DEKALB Plant Genetics is the sole, original, and first breeder of the soybean variety CX121.